## **DEMAT DEBIT AND PLEDGE INSTRUCTION (DDPI)**

I/We agree to the terms and conditions of Demat Debit & Pledge Instructions (herein referred after to as DDPI) between the undersigned individual(s)/entities (hereinafter referred to as client(s)) and SMC Global Securities Limited (hereinafter referred to as SMC) a company duly incorporated under the Companies Act, 1956 and having Registered Office at 11/6B, Shanti Chamber, New Delhi-110005.

Whereas SMC is a SEBI registered Stock Broker & Depository Participant and is providing stock broking and depository participant services to their registered clients. Whereas SMC has the following Principal account / Clearing Member account / Early pay-in / Pool / Margin Pledge accounts /CMBP IDs to receive securities from the clients:

| 12019101 00000412 | CDSL BSE Pool          | 11000010 00013363 | CDSL BSE early pay-in A/c               |
|-------------------|------------------------|-------------------|---|
| 12019101 00000372 | CDSL NSE Pool          | 11000011 00014914 | CDSL NSE early pay-in                   |
| IN564844          | NSDL NSE Pool          | 12019101 04319878 | CDSLCM Client Securities Margin Pledge  |
| IN655267          | NSDL BSE Pool          | IN303655 10227156 | NSDL CM Client Securities Margin Pledge |
| 12019101 02068772 | CDSL MSEI Pool         | 1201910 104319903 | CDSL Client securities Margin Funding   |
| IN471837          | NSDL MSEI Pool         | IN303655 10229625 | NSDL Client Securities Margin Funding   |
| IN565576          | NSDL NSE MF Redemption | IN620031          | NSDL BSE MF Redemption                  |

Whereas, the Client(s) are desirous of availing various broking and depository participant services offered by SMC and for the purpose of facilitating the transactions and services in broking and/or depository account(s)in a seamless manner, the Client(s) authorise SMC to execute instructions and perform severallythe following actsby accessing the beneficial owner account(s) of Client(s):

| S. No. | Purpose   | Signature of Client  |
|--------|---|--|
| 1.     | Transfer of securities held in the beneficial owner accounts of the client towards Stock Exchange related deliveries / settlement obligations arising out of trades executed by clients on the Stock Exchange through the               | (14) Signature of 1st / Sole Holder (10) Signature of 2nd Holder   |
|        | same stock broker   | (10) 🔯<br>Signature of 3rd Holder  |
| 2.     | Pledging / re-pledging of securities in favour of trading member (TM) / clearing member (CM) for the purpose of meeting margin requirements of the clients in connection with the trades executed by the clients on the Stock Exchange. | (15) S Signature of 1st / Sole Holder  (11) S Signature of 2nd Holder  (11) S Signature of 3rd Holder                      |
| 3.     | Mutual Fund transactions being executed on Stock Exchange order entry platforms.  | (16) Signature of 1st / Sole Holder (12) Signature of 2nd Holder (12) Signature of 3rd Holder                              |
| 4.     | Tendering shares in open offers through Stock Exchange platforms.   | (17) Signature of 1st / Sole Holder (13) Signature of 2nd Holder (13) Signature of 2nd Holder (13) Signature of 3rd Holder |

This DDPI document is made on the date and place mentioned hereunder:

| Client Name             |      |              |
|-------------------------|------|--------------|
| Demat DP ID & Client ID |      | Trading Code |
| Date                    | //20 | Place        |

| IS S | ignatures of all major co-parceners are required. | For HUF only (Mandatory) |
|------|---|--------------------------|
|      | Name of major co-parceners                        | Signature                |
| 1.   |   |                          |
| 2.   |   |                          |
| 3.   |   |                          |
| 4.   |   |                          |
| 5.   |   |                          |

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