

**Know Your Client (KYC)**  
**Application Form (For Individuals Only)**



Please fill the form in ENGLISH and in BLOCK letters

Fields marked \* are mandatory

Fields marked \* are pertaining to CKYC and mandatory only if processing CKYC also

Application Number: \_\_\_\_\_

Moneywise. Be wise

Application Type: Without Supporting KYC Modification

**KYC Mode\*:** Please Tick (✓)

Normal

EKYC OTP

EKYC Biometric

Online KYC

Offline EKYC

Digilocker

**1. Identity Details (please refer guidelines overleaf)**

PAN\* \_\_\_\_\_ Trading Code: \_\_\_\_\_ DP Id: \_\_\_\_\_ Client Id: \_\_\_\_\_

Name (same as ID proof) \_\_\_\_\_

Fathers/Spouse's Name \_\_\_\_\_

Marital Status  Single  Married

**2. Contact Details (in CAPITAL)**

Email ID \_\_\_\_\_ Mobile No. \_\_\_\_\_

Tel (Off) \_\_\_\_\_ Tel (Res) \_\_\_\_\_

**One mobile number or Email id is not allowed in multiple accounts.**

If exist kindly tick on relation: ( ) Spouse / ( ) Dependent child / ( ) Dependent parents.

**3. Applicant Declaration**

I/We hereby declare that the KYC details furnished by me are true and correct to the best of my/our knowledge and belief and I/we under-take to inform you of any changes therein, immediately. In case any of the above information is found to be false or untrue or misleading or misrepresenting, I am/We are aware that I/We may be held liable for it.

I/We hereby consent to receiving information from CVL KRA through SMS/Email on the above registered number/Email address.

I am/We are also aware that for Aadhaar OVD based KYC, my KYC request shall be validated against Aadhaar details. I/We hereby consent to sharing my/our masked Aadhaar card with readable QR code or my Aadhaar XML/Digilocker XML file, along with passcode and as applicable, with KRA and other Intermediaries with whom I have a business relationship for KYC purposes only.

DATE: \_\_\_\_\_(DD-MM-YYYY)

PLACE: \_\_\_\_\_

Applicant Wet Signature

**4. For Office Use Only**

Intermediary Details (Name and Stamp)\*

SMC GLOBAL SECURITIES LTD.

Institution Name and Stamp

## FINANCIAL & ADDITIONAL DETAILS UPDATION FORM

<b>Applicant Name</b> (same as ID proof)	
<b>Father's/Spouse' Name</b>	
<b>Mother's Name*</b>	
<b>Financial Details</b>	<b>Income Range (Per Annum) as on date</b> _____/_____/20_____ <input type="checkbox"/> Below Rs 1 Lakh <input type="checkbox"/> Rs 1 - 5 Lakh <input type="checkbox"/> Rs 5 - 10 Lakh <input type="checkbox"/> Rs 10 - 25 Lakh <input type="checkbox"/> Above Rs 25 Lakh #Provide document in support of financial details (mandatory for Derivatives)
Gross Annual Income Details (Please Specify)  Net worth (should not be older than 1 year) (Mandatory for Non-individual)	Rs. _____ as on date ____/_____/20_____ _____
<b>Occupation</b> (In case of Individual)	<input type="checkbox"/> Private Sector <input type="checkbox"/> Public Sector <input type="checkbox"/> Central Government <input type="checkbox"/> State Government <input type="checkbox"/> Business* <input type="checkbox"/> Professional <input type="checkbox"/> Agriculturalist <input type="checkbox"/> Retired <input type="checkbox"/> House Wife <input type="checkbox"/> Student <input type="checkbox"/> NGO <input type="checkbox"/> Others _____
<b>*If business is selected, then provide nature of business</b>	
<b>Politically Exposed (Please tick)</b>	
<input type="checkbox"/> Not Politically Exposed Person (PEP) / Not Related to Politically Exposed Person (PEP) <input type="checkbox"/> Politically Exposed Person (PEP) / Related to a Politically Exposed Person (PEP)	
<b>FATCA Declaration</b>	
Are you resident outside India for Tax Purpose <input type="checkbox"/> No <input type="checkbox"/> Yes (provide additional information) Country of Birth _____ City of Birth _____ (specify) If you are resident outside India for Tax Purpose, provide following additional information: Country of Tax residence _____ Tax Identification Number (TIN) _____ (attach TIN proof)	
<b>#Documents that can be submitted in support of financial details (any one):</b> (i) Copy of ITR (ii) Copy of Annual Accounts / Balance Sheet and P&L (iii) In case of salary income - Salary Slip or Copy of Form 16 (iv) Net worth certificate (v) Copy of latest demat account holding statement with value (vi) Bank account statement for last 6 months	<b>For SMC use</b> In-Person Verification (IPV) conducted by: Details of SMC Employee/AP: Name: _____ Signature: _____ Date _____

<b>Applicant SIGN</b>
_____          Date: DD / MM / YYYY